

HONORABLE RICHARD A. JONES

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UNITED STATES DISTRICT COURT  
WESTERN DISTRICT OF WASHINGTON  
AT SEATTLE

A.B.T., K.M.-W., G.K., L.K.G., D.W.,  
Individually and on Behalf of All  
Others Similarly Situated,

Plaintiffs,

v.

U.S. CITIZENSHIP AND  
IMMIGRATION SERVICES;  
EXECUTIVE OFFICE FOR  
IMMIGRATION REVIEW; Janet  
NAPOLITANO, Secretary,  
Department of Homeland Security;  
Alejandro MAYORKAS, Director,  
U.S. Citizenship and Immigration  
Services; Eric H. HOLDER, Jr.,  
Attorney General of the United States;  
Juan OSUNA, Director, Executive  
Office for Immigration Review,

Defendants.

CASE NO. 2:11-cv-02108 RAJ

ORDER GRANTING  
PLAINTIFF'S MOTION FOR A  
PROTECTIVE ORDER FOR  
REDACTION OF THEIR NAMES  
EXCEPT FOR FIRST INITIALS

## I. INTRODUCTION

This matter comes before the court on plaintiffs' motion for a protective order. Dkt. # 12.<sup>1</sup> Plaintiffs seek an order permitting them and requiring defendants to use the initials of the plaintiffs' first and last names in all filings so plaintiffs' identities remain confidential. Defendants, the U.S. Citizenship and Immigration Services; the Executive Office for Immigration Review; Janet Napolitano, Secretary of the Department of Homeland Security; Alejandro Mayorkas, Director, U.S. Citizenship and Immigration Services; Eric H. Holder, Jr. Attorney General of the United States; and Juan Osuna, Director of the Executive Office for Immigration Review (collectively, "Defendants") oppose the motion on the basis that (1) plaintiffs fail to meet *Advanced Textile* standards to proceed anonymously, (2) the Constitution and Federal Rules counsel against anonymous filing, (3) anonymous filing runs counter to common law, and (4) federal regulations favor denying the motion to file anonymously. Dkt. # 22 (Answer) at 2-5. Having reviewed the memoranda, exhibits, and the record herein, the court GRANTS the motion.

## II. BACKGROUND

Plaintiffs are all noncitizens who have filed applications for asylum with Defendants. Dkt. # 12 (Mot.) at 2. On December 15, 2011, plaintiffs filed a putative class action complaint challenging the constitutionality of the Defendants' practices involving their efforts to obtain Employment Authorization Documents while they seek asylum in removal proceedings. Dkt. # 1 (Compl.) ¶¶ 2-7. Plaintiffs also allege that Defendants' policies and practices violate the Immigration and Nationality Act and the Administrative Procedure Act. *Id.* ¶ 4. On December 23, 2011, Plaintiffs moved for a Protective Order for Redaction of Plaintiffs' Names Except for First Initials. Dkt. # 12

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<sup>1</sup> The court also addresses the parties' recently filed stipulation to stay the proceedings in the conclusion. Dkt. # 38.

1 (Mot.). Plaintiffs allege that they have been persecuted or that they fear persecution in  
2 their home countries. Dkt. # 1 (Compl.) ¶ 1. Some of the plaintiffs previously initiated  
3 judicial proceedings using their full names and alien numbers and are identified in public  
4 PACER/ECF pages. Dkt. # 22 (Answer) at 3. Plaintiffs represent that Defendants have  
5 received a copy of the complaint with plaintiffs' full names and alien numbers. Dkt. # 27  
6 (Reply) at 3.

7 Plaintiffs A.B.T., K.M.-W., L.K.G. are citizens of Eritrea. Dkt. # 1 (Compl.) ¶¶  
8 12, 13, 15. A.B.T first arrived in the United States on September 14, 2010. *Id.* ¶ 52.  
9 Upon her arrival, a Department of Homeland Security ("DHS") agent determined A.B.T.  
10 had a credible fear of persecution if she returned to Eritrea. *Id.* A.B.T. alleges that she  
11 fled from Eritrea to escape past persecution and threats of future persecution due to her  
12 political opinion and membership in a social group of Eritrean women who have been  
13 subjected to gender-based violence. *Id.* A.B.T. claims that she escaped from the Eritrean  
14 National Service and fears that they will arrest and possibly kill her if she returns to  
15 Eritrea. Dkt. # 27, Ex. 1 (A.B.T Decl.) at 2. A.B.T. also claims that the Eritrean  
16 government has questioned her family in Eritrea to determine A.B.T.'s whereabouts and  
17 that her family hid her asylum status by stating that A.B.T. was in the Eritrean National  
18 Service. *Id.*

19 K.M.-W. arrived in the United States on December 17, 2009. Dkt. # 1 (Compl.) ¶  
20 59. K.M.-W. alleges that he fled from Eritrea to escape past persecution and threats of  
21 future persecution due to his political opinion. *Id.* Upon his arrival, a DHS officer  
22 determined K.M.-W. had a credible fear of persecution if he returned to Eritrea. *Id.*

23 L.K.G. arrived in the United States on January 11, 2007. *Id.* ¶ 79. She alleges that  
24 she fled from Eritrea to escape past persecution and threats of future persecution due to  
25 her political opinion. *Id.* On January 23, 2007, the U.S. Citizenship and Immigration  
26 Services determined she credibly feared persecution if she returns to Eritrea. *Id.* L.K.G.  
27 claims she escaped from the Eritrean military, and they continue to contact her family in

1 Eritrea to inquire about her whereabouts. Dkt. # 27, Ex. 2 (L.K.G. Decl.) at 2. To  
2 conceal her whereabouts from the Eritrean government, L.K.G. claims that she avoids  
3 large public gatherings and being photographed in public. *Id.*

4 Plaintiff D.W. is a citizen of China. Dkt. # 1 (Compl.) ¶ 16. D.W. first arrived in  
5 the United States on September 21, 2002. *Id.* He claims that he fled from China to  
6 escape past persecution and threats of future persecution due to his religion. *Id.* ¶ 88.  
7 D.W. claims that when he was in China, police officers arrested and beat him for hosting  
8 Bible studies. Dkt # 27, Ex. 4 (D.W. Decl.) at 000329. D.W. claims his wife and  
9 daughter are still in China and he fears for their safety. *Id.* D.W. believes police officers  
10 continue to interrogate his wife in an effort to find him. *Id.*

11 Plaintiff G.K. is a citizen of India. Dkt. # 1 (Compl.) ¶ 14. G.K. arrived in the  
12 United States on April 17, 2001. *Id.* G.K. claims that she fled from India to escape past  
13 persecution and threats of future persecution due to her religion and political opinion. *Id.*  
14 ¶ 70. G.K. fears for the safety of her family who reside in India. Dkt. # 27, Ex. 3 (G.K.  
15 Decl.) at 2.

### 16 III. ANALYSIS

17 In judicial proceedings, the common law right of access to courts and judicial  
18 records is well-established. *Doe v. Kamehameha Schools/Bernice Pauahi Bishop Estate*,  
19 596 F.3d 1036, 1042 (2010). Generally, parties are to be identified by their real names in  
20 all court filings. *See Fed. R. Civ. P. 10(a)*. Nevertheless, the Ninth Circuit has held that  
21 “in special circumstances,” the district court may preserve a party’s anonymity if the  
22 party’s need for anonymity outweighs the prejudice to the opposing party and the  
23 public’s interest in knowing the party’s identity. *Does I thru XXIII v. Advanced Textile*  
24 *Corp.*, 214 F.3d 1058, 1068 (9th Cir. 2000). To determine whether a party may proceed  
25 anonymously when the opposing party has objected, five factors must be balanced: (1)  
26 the severity of the retaliatory harm, (2) the reasonableness of the anonymous party’s fears  
27

1 (3) the anonymous party's vulnerability to the retaliation, (4) the prejudice to the  
2 opposing party, and (5) the public interest. *Kamehameha Schools*, 596 F.3d at 1042.

3 **A. Severity of Retaliatory Harm**

4 To be sufficiently severe, the threat of retaliatory harm must be extraordinary and  
5 greater than what the typical plaintiff would face. *Advanced Textile Corp.*, 214 F.3d at  
6 1070. Retaliation may include harm by third parties, reprisals against nonparties and  
7 economic harm. *Id.*

8 Here, plaintiffs' respective declarations describe the possible retaliatory harms.

9 A.B.T. claims that if she is identified in this suit, it will be easier for the Eritrean

10 government to learn that she is a National Service deserter, and that they may arrest and

11 kill her if she is forced to return to Eritrea. Dkt. # 27, Ex. 1 (A.B.T. Decl.) at 2. She

12 claims that identification may also lead the Eritrean government to increase scrutiny of

13 her family in Eritrea which may lead to their arrest and imprisonment. *Id.* at

14 2. Similarly, L.K.G. claims that his identification would put him "in great danger"

15 because the Eritrean military will know he is a military deserter and an asylum seeker.

16 Dkt. # 27, Ex. 2 (L.K.G. Decl.) at 2. His identification may also cause the Eritrean

17 government to arrest and imprison his family and demand money for their release. *Id.* If

18 L.K.G.'s family were arrested, L.K.G. claims that they may be held indefinitely because

19 he does not have the money to pay for their release. *Id.* D.W. claims that he will "be

20 finished" if he is identified and forced to return to China. D.W. also believes his

21 identification will jeopardize the safety of his wife and child. Dkt. # 27, Ex. 4 (D.W.

22 Decl.) at 000329. G.K. claims that if she is identified and forced to return to India, she

23 will be in danger of future persecution. Dkt. # 27, Ex. 3 (G.K. Decl.) at 3. She claims

24 that identification would also jeopardize the safety of her parents and siblings in India.

25 *Id.*

26 Plaintiffs have shown that the severity of threatened harm is great. If the plaintiffs

27 are identified and forced to return to their home countries, the plaintiffs may be

1 persecuted, imprisoned under hostile conditions, tortured, or killed. *See* Dkt. # 27, Exs. 1  
2 at 2, 2 at 2, 3 at 3, 4 at 000327, 000329; *see also* Dkt. # 27, Exs. 9-14. Their  
3 identification in this suit may also jeopardize the freedom and physical safety of the  
4 plaintiffs' families, and may cause their families substantial economic harm. Dkt. # 27,  
5 Exs. 1 at 2, 2 at 2, 3 at 3, 4 at 000327, 000329.

6 **B. Plaintiffs' Reasonable Fear**

7 A party's fear of retaliatory harm must be objectively reasonable: plaintiffs must  
8 demonstrate that they were threatened and a reasonable person would believe that the  
9 threat might be carried out. *Advanced Textile Corp.*, 214 F.3d at 1071. Each party does  
10 not have to demonstrate an "individualized risk of retaliation." *Id.* at 1069 n.11. Rather,  
11 evidence that similarly-situated individuals possess a reasonable fear of severe retaliation  
12 is sufficient to warrant their anonymity.<sup>2</sup> *Id.*

13 Official reports of Eritrea government and military retaliation against nonparties  
14 similarly-situated to plaintiffs support the reasonableness of A.B.T.'s, K.M.-W.'s and  
15 L.K.G.'s fears. Dkt. # 27, Exs. 9-12. The 2010 State Department Human Rights Report  
16 states that repatriated Eritrean refugee and asylum seekers, such as those similar to  
17 A.B.T., K.M.-W., and L.K.G., have disappeared without information. Dkt. # 27, Ex. 9 at  
18 4. Furthermore, the Eritrean government has sanctioned torture methods against military  
19 deserters, draft evaders, and persons attempting to flee the country. *Id.* at 5. The United  
20 Nations High Commissioner for Refugees report that family members and relatives of  
21 draft evaders and deserters are at risk of persecution due to the Eritrea government's  
22 practices of substituting family members to fulfill the outstanding military service  
23 requirements and imposing punitive fines and imprisonment. Dkt. # 27, Ex. 10 at 19-20.  
24 Additionally, the DHS's specific determinations that A.B.T., K.M.-W. and L.K.G. have a

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26 <sup>2</sup> Although K.M.-W. did not provide a declaration of his individualized fears of retaliation, as an  
27 asylum seeker from Eritrea, he is similarly situated to A.B.T. and L.K.G. so the reasonableness  
of their fears may be evaluated together. *See Advanced Textile Corp.*, 214 F.3d at 1069 n.11.

1 credible fear of persecution provide additional evidence of these plaintiffs' reasonable  
2 fear of retaliation. Dkt. # 1 (Compl.) ¶¶ 52, 59, 79.

3 Reports of Chinese and Indian persecution support the objective reasonableness of  
4 D.W.'s and G.K.'s fears. The 2011 Amnesty International Report on China found that  
5 followers of unregistered or banned religious groups similar to D.W. risked harassment,  
6 persecution, detention, and imprisonment. Dkt. # 27, Ex. 13 at 105. Such practices lead  
7 to ill-treatment including reports of death in custody, sometimes caused by torture. Dkt.  
8 # 27, Ex. 13 at 106. The State Department Human Rights and Labor Country Report on  
9 India reported instances of the local government holding political prisoners. State Dep't  
10 Bureau of Democracy, *India*, Human Rights And Labor Country Reports On Human  
11 Rights Practices For 2011, <http://www.state.gov/documents/organization/186675.pdf>  
12 (last visited July 20, 2012). Accordingly, plaintiffs have provided evidence to support the  
13 objective reasonableness of their fears.

#### 14 **C. Plaintiffs' Vulnerability**

15 Vulnerability is established by the party's dependence on anonymity to avoid  
16 retaliatory harm. *See Advanced Textile Corp.*, 214 F.3d at 1071-72 (determining that  
17 plaintiffs were vulnerable where court had no ability to protect plaintiffs from reprisals or  
18 to protect plaintiffs' family members who resided in their home country, and where  
19 plaintiffs were more effectively protected from retaliation by concealing their identities  
20 than by relying on the deterrent effect of post hoc remedies). A party's status as a  
21 vulnerable class member does not automatically establish a vulnerability to retaliation  
22 requiring anonymity. *See Kamehameha Schools*, 596 F.3d at 1045 (determining that  
23 plaintiffs did not meet need for anonymity despite being members of a vulnerable class as  
24 minors). Correspondingly, the existence of other public records that identify the  
25 anonymous party do not automatically defeat a claim of vulnerability either. *Advanced*  
26 *Textile Corp.*, 214 F.3d at 1069 ("[T]he fact that some employees chose to file ...

1 | complaints under their true names does not refute plaintiffs' evidence that they hold a  
2 | reasonable fear of retaliation."").

3 |       Here, plaintiffs are dependent on anonymity to protect themselves and their  
4 | families from retaliatory harm if they return to their home countries. Plaintiffs are not  
5 | vulnerable merely because they are asylum-seekers; plaintiffs are vulnerable because they  
6 | are citizens of countries that have sanctioned severe retaliatory practices. *See* Dkt. # 27,  
7 | Exs. 9-12. Plaintiffs will likely lack access to adequate legal, political or physical  
8 | protection to defend against or to prevent retaliatory harm if they are forced to return to  
9 | their respective home countries. *See* Dkt. # 27, Ex. 1- 4, 9 at 9-10, 10 at 19-20, 12 at 1;  
10 | Dkt. # 28 (Ex. 11) at 43-44. Furthermore, plaintiffs' vulnerability to retaliation exists  
11 | regardless of the existence of other public records in public PACER/ECF pages which  
12 | identify some of the plaintiffs. *See* Dkt. # 22 (Answer) at 3; *Advanced Textile Corp.*, 214  
13 | F.3d at 1069. Accordingly, the court finds that plaintiffs are highly vulnerable to  
14 | retaliation.

15 | **D. Prejudice to the Defendants**

16 |       Anonymity must have limited prejudicial effects on the opposing party's ability to  
17 | litigate the case and mount a defense. *See Advanced Textile Corp.*, 214 F.3d at 1072. If  
18 | anonymity is granted, the precise prejudice to the opposing party must be determined at  
19 | each stage of the proceeding, and proceedings must be structured to mitigate as much  
20 | prejudice as possible to ensure fairness. *Id.* At this stage of the proceeding, prejudice to  
21 | the Defendants is mitigated because plaintiffs represent that Defendants have received a  
22 | copy of the complaint with plaintiffs' full names and alien numbers. Dkt. # 27 (Reply) at  
23 | 3. Accordingly, the court finds that anonymity will only marginally affect the  
24 | defendant's ability to litigate the case and mount a defense. *See* Dkt. # 22, (Answer) at 4.

25 | **E. Public Interest**

26 |       The public interest in anonymity must be weighed against the public interest in  
27 | open proceedings. *See Advanced Textile Corp.*, 214 F.3d at 1072. Because of the public

1 interest in open judicial proceedings, federal regulations emphasize that anonymity  
2 should be granted rarely. *See, e.g.*, 28 C.F.R. § 50.9 (2012) (“There is ... a strong  
3 presumption against closing proceedings ... and the Department of Justice foresees very  
4 few cases in which closure would be warranted.”). Nevertheless, there exists a strong  
5 public interest in restricting asylum seekers’ identities from the public. *See* 8 C.F.R. §§  
6 208.6, 1208.6 (2012);<sup>3</sup> *see also* Fed. R. Civ. P. 5.2(c).<sup>4</sup> Asylum seekers have the right to  
7 keep confidential any information contained in or pertaining to an asylum application that  
8 allows a third party to link the identity of the applicant to: (1) the fact that the applicant  
9 has applied for asylum; (2) specific facts or allegations pertaining to the individual  
10 asylum claim contained in an asylum application; or (3) facts or allegations that are  
11 sufficient to give rise to a reasonable inference that the applicant has applied for asylum.  
12 8 C.F.R. §§ 208.6, 1208.6 (2012). There are only specific, enumerated exceptions where  
13 asylum-related information may be disclosed without plaintiffs’ written consent. *See id.*  
14 Exceptions include, *inter alia*, disclosure to any Federal, State or local court in the United  
15 States considering any legal action. *Id.*

16 Here, anonymity should be granted despite the strong presumption against closing  
17 proceedings. Due to the nature of this case, plaintiffs’ identities cannot be separated from  
18 the facts and allegations about their asylum applications. *See* Dkt. # 1 ¶¶ 2-7, 12-16, 52,  
19 70, 79, 88. Defendants argue that the court should reject plaintiffs’ motion because this  
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21 <sup>3</sup> The Homeland Security Act of 2002 transferred the functions of the Immigration and  
22 Naturalization Service (“INS”) to the DHS and retained the functions of the Executive Office for  
23 Immigration Review (“EOIR”) in the Department of Justice. These changes required the  
24 reorganization of title 8 of the Code of Federal Regulations to place the INS regulations and the  
25 EOIR regulations into separate chapters. Therefore, 8 C.F.R. § 208.6, is duplicated at 8 C.F.R. §  
26 1208.6. *Lin v. U.S. Dep’t of Justice* 459 F.3d 255, 258 n.1 (2006).

27 <sup>4</sup> Fed. R. Civ. P. 5.2 restricts access to electronic files in immigration cases. Nonetheless, any  
member of the public has access to the full record of such cases at the courthouse. Fed. R. Civ.  
P. 5.2(c)(2). Additionally, all orders of the court are available to the public. Fed. R. Civ. P.  
5.2(c)(2)(B). Accordingly, a protective order is necessary to preclude disclosure to the general  
public.

1 case falls within the 8 C.F.R. §§ 208.6, 1208.6 exception where plaintiffs' identities may  
2 be disclosed to the court and Defendants without written permission. Defendants are  
3 mistaken. The exception permits disclosure of identities to the courts, but it does not  
4 permit disclosure to the general public. *See* 8 C.F.R. §§ 208.6, 1208.6. If the court  
5 denied plaintiffs' motion, the court would, in essence, be ordering plaintiffs and  
6 Defendants to disclose plaintiffs' identities to the public.<sup>5</sup> *See id.* Given the clear  
7 mandate to protect asylum applicants and to prevent disclosure of their identities to the  
8 general public, the court has grave concerns of the role it would play in essentially  
9 requiring the parties to violate 8 C.F.R. §§ 208.6, 1208.6.

10 Furthermore, lawsuits that enforce statutes and constitutional rights generally  
11 benefit the public. *See Advanced Textile Corp.*, 214 F.3d at 1073. The courts have an  
12 interest for such cases to be decided on the merits. *See e.g. Plyler v. Doe*, 457 U.S. 202  
13 (1982) (undocumented immigrants proceeded anonymously in their successful  
14 constitutional challenge to a Texas law denying free public grammar school education to  
15 undocumented immigrant children); *Roe v. Wade*, 410 U.S. 959 (1973) (pregnant woman  
16 proceeded anonymously in her successful constitutional challenge to a Texas statute  
17 criminalizing certain abortions).<sup>6</sup> Action that may chill a party's willingness to litigate  
18 constitutional issues and violations of statutes is generally considered against public  
19 policy. *See Advanced Textile Corp.*, 214 F.3d at 1073.

20 This case alleges statutory and constitutional violations. Dkt. # 1 ¶¶ 2-7. The  
21 court believes that this case should be decided on the merits. *Advanced Textile Corp.*,  
22 214 F.3d at 1073. Considering the severity of the retaliatory harm in this case, forcing

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24 <sup>5</sup> If asylum-related information is properly disclosed to a third party pursuant to 8 C.F.R. §  
25 208.6, the third-party recipient is bound by the confidentiality regulations under 8 C.F.R. §  
26 208.6. Dkt. # 27, Ex. 8 (Fact Sheet: Federal Regulations Protecting the Confidentiality of  
Asylum Applicants) at 5.

27 <sup>6</sup> Plaintiffs in *Plyler* and *Roe* proceeded anonymously, but the issue of anonymity was not  
contested or litigated.

1 | plaintiffs to disclose their identity would likely chill their willingness to challenge  
2 | statutory and constitutional violations. *Id.* Accordingly, allowing plaintiffs to use their  
3 | initials will serve the public's interest in this lawsuit by enabling it to go forward.

4 | **IV. CONCLUSION**

5 | For all the foregoing reasons, the court GRANTS plaintiff's motion for a  
6 | protective order. Dkt. # 12. The court also GRANTS the parties' stipulated motion to  
7 | stay proceedings. Dkt. # 38. The clerk is ORDERED to terminate the pending motions.  
8 | Dkt. # 13, 31. The parties are ORDERED to file a status report no later than October 25,  
9 | 2012 regarding the status of the case. If the case has not resolved, the parties shall  
10 | request that the court re-calendar the pending motions for the first Friday thereafter.

11 |  
12 | Dated this 20<sup>th</sup> day of July, 2012.

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15 | The Honorable Richard A. Jones  
16 | United States District Judge